

Part 2B of Form ADV: Brochure Supplement

Item 1 Cover Page Brochure Supplement Date: 01/29/2024

This brochure supplement provides information about Stephen D. Moore, Cheryl Chin, Clare B. Kull, Glenn F. Ferrell that supplements the Stone Securities, Inc Firm *Brochure*. You should have received a copy of that *Brochure*. Please contact Cheryl Chin, Vice President, if you did not receive Stone Securities, Inc, Firm 's *Brochure* or if you have any questions about the contents of this supplement.

Additional information about Stephen D. Moore, Cheryl Chin, Clare B. Kull and Glenn F. Ferrell is available on the SEC's website at www.adviserinfo.sec.gov.

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Glenn F. Ferrell
Registered Investment Advisor
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Item 2 Education Background and Business Experience

Stephen D. Moore Date of Birth 05/02/1953

Formal Education

- 1.) No Formal Education after High School
- 2.) High School Graduate
- 3.) Received certificate for completion of the CAN SLIM Master's Program from Investor's Business Daily.

Business Background for preceding 5 years

Prior to opening Stone Securities Inc. in 1999, Stephen D. Moore was employed with Dean Witter, Wheat First, Merrill Lynch and Prudential Securities. Mr. Moore is the President of Stone Securities, Inc. Mr. Moore currently holds a series 4, 7, 24, 63, 65 licenses.

Cheryl Chin Date of Birth 03/05/1963

Formal Education

- 1.) No Formal Education after High School
- 2.) High School Graduate

Business Background for preceding 5 years

Prior to opening Stone Securities, Inc in 1999, Cheryl Chin started in the financial industry in 1993. Mrs. Chin started at Merrill Lynch and was licensed in 1995. Mrs. Chin also worked for Prudential Securities from 1997 till 1999. She currently holds a series 4, 7, 24, 63, 65 licenses.

Clare B. Kull Date of Birth 08/07/1991

Formal Education

- 1.) Bachelor of Arts Degree in Music Performance from Muhlenberg College of Allentown, PA
- 2.) High School Graduate

Business Background for preceding 5 years

Prior to joining Stone Securities, Inc. in 2014, Clare B. Kull started in the finance industry in 2013 at Penn Security Bank & Trust and Leigh Baldwin & Co., LLC, she was licensed in 2013. Before this, Mrs. Kull was finishing her Bachelor's degree as a full-time student. She currently holds a series 7 and 66 license.

Glenn F. Ferrell Date of Birth 03/14/1943

Formal Education

- 1.) Master Degree in Business Administration from the University of Scranton, PA
- 2.) Bachelor of Science Degree in Accounting from the University of Scranton, PA
- 3.) High School Graduate

Business Background for preceding 5 years

Prior to joining Stone Securities, Inc. 2014, Glenn F. Ferrell was employed with Leigh Baldwin and Co., LLC as a Financial Advisor, on a part-time basis. Mr. Ferrell is a retired Tax Agent with the Unemployment Compensation Tax Services for the Commonwealth of Pennsylvania since 2019. Prior to this, Mr. Ferrell was employed with Disability Determination Services for the Commonwealth of Pennsylvania in 2001. Mr. Ferrell is currently registered as an Investment Advisor with the State of Pennsylvania since 2014.

Item 3 Disciplinary Information

Stephen D. Moore has no legal or disciplinary events required to be disclosed in response to this item. There are items that are contained on brokercheck, finra.org or www.adviserinfo.sec.gov that you may wish to review and consider in your evaluation of his background.

Cheryl Chin has no legal or disciplinary events required to be disclosed in response to this item. There are no items contained on brokercheck, finra.org or www.adviserinfo.sec.gov should you wish to review and consider in your evaluation of her background.

Clare B. Kull has no legal or disciplinary events required to be disclosed in response to this item. There are no items contained on brokercheck, finra.org or www.adviserinfo.sec.gov should you wish to review and consider in your evaluation of her background.

Glenn F. Ferrell has no legal or disciplinary events required to be disclosed in response to this item. There are no items contained on brokercheck, finra.org or www.adviserinfo.sec.gov should you wish to review and consider in your evaluation of his background.

Item 4 Other Business Activities

Broker Dealer

Stephen D. Moore is a registered representative of Leigh Baldwin and Company LLC, a broker dealer. The affiliation is in place to allow Mr. Moore to effect securities transactions for his clients.

Broker Dealer

Cheryl Chin is a registered representative of Leigh Baldwin and Company, LLC a broker dealer. The affiliation is in place to allow Mrs. Chin to effect securities transactions for her clients.

Broker Dealer

Clare B. Kull is a registered representative of Leigh Baldwin and Company, LLC a broker dealer. The affiliation is in place to allow Mrs. Kull to effect securities transactions for her clients.

Broker Dealer

Glenn F. Ferrell is not a registered representative of Leigh Baldwin and Company, LLC a broker dealer. However, Stone Securities, Inc. has an affiliation in place to allow Mr. Ferrell to effect securities transactions for his clients through Leigh Baldwin and Co. LLC should the clients choose to do so.

Item 5 Additional Compensation

Stephen D. Moore does not receive additional compensation for economic benefit from Leigh Baldwin and Company LLC.

Cheryl Chin does not receive additional compensation for economic benefit other than a regular salary from Leigh Baldwin and Company LLC.

Clare B. Kull does not receive additional compensation for economic benefit from Leigh Baldwin and Company LLC.

Glenn F. Ferrell does not receive additional compensation for economic benefit from Leigh Baldwin and Company LLC.

Item 6 Supervision

Cheryl Chin is the supervisor for the Stone Securities, Inc. (SSI). Mrs. Chin plans to monitor client's investment accounts by reviewing the Investment Advisory Agreement for the investment objectives set forth by the client when the agreement is signed by both parties. Mrs. Chin will review transactions placed in the client(s) account on a daily basis for verification of the client(s) investment objectives are being up held.

Mrs. Chin will review quarterly invoices prepared for the client(s), for the amounts being charged, are in accordance with the Investment Advisory Agreement originally signed by the client(s).

Cheryl Chin
Vice President
Stone Securities, Inc.
570-585-0825

Item 7 Requirements for State-Registered Advisers

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